

02/25/98

3572 U.S. PTO

<b>UTILITY</b> <b>PATENT APPLICATION</b> <b>TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	M-3206-1C US	Total Pages (This form)	2
	First Named Inventor or Application Identifier			
	Peter C. Chen			
	Express Mail Label No.	EM 263 040 735 US		

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form - <i>see page 2 of this form</i> <small>(Submit an original, and a duplicate for fee processing)</small> 2. <input checked="" type="checkbox"/> Specification (Total Pages (Total 20 pages)) <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>• Descriptive title of the Invention</li> <li>• Cross References to Related Applications</li> <li>• Statement regarding Fed sponsored R&amp;D</li> <li>• Reference to Microfiche Appendix</li> <li>• Background of the Invention</li> <li>• Brief Summary of the Invention</li> <li>• Brief Description of the Drawings (if filed)</li> <li>• Detailed Description</li> <li>• Claim(s)</li> <li>• Abstract of the Disclosure</li> </ul> 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <small>[Total Sheets 5]</small> 4. Oath or Declaration <small>[Total Pages 2]</small> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))  <small>(for continuation/divisional with Box 17 completed)</small></li> <li>c. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>  <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></li> </ul> 5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	6. <input checked="" type="checkbox"/> Microfiche Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul>
<b>ACCOMPANYING APPLICATION PARTS</b>	
8. <input type="checkbox"/> Assignment Papers (cover sheet & documents) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 12. <input checked="" type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(should be specifically itemized)</small> 14. <input checked="" type="checkbox"/> Small Entity Statement <input checked="" type="checkbox"/> Statement filed in prior application; status still proper and desired <input type="checkbox"/> Is no longer claimed. 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input checked="" type="checkbox"/> Other: Copy of Special Petition for Extension of Time	
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. 08/428,935, (Filed 4/25/95)	

### 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

 or ☐ Correspondence address below

Name	David T. Millers		Reg. No. 37,396	
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## 19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
10	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	0	x	\$22	=	\$ 0.00
2	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$82	=	\$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))				+	\$270.00	=	\$ 0.00
						BASIC FEE (37 CFR 1.16(a))	=	\$790.00
						Total of above Calculations	=	\$ 790.00
						Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).	=	\$ 395.00
						TOTAL	=	\$ 395.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)  
b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)  
c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☒ Other: \_\_\_\_\_

**NOTE:**

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

22. **NEW CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label

☐ New correspondence address below

NAME					
ADDRESS					
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE	FAX			

23. **SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

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Date: February 25, 1998

Name: David T. Millers

Reg. No. 37,396

Signature

*David Millers*

Express Mail Label No. EM 263 040 735 US



COPY

Applicant(s): Chen, Peter C.

Assignee: PC-Tel, Inc.

Title: HOST SIGNAL PROCESSING MODEM USING A SOFTWARE SIMULATION OF A UART

Serial No.: 08/428,935

Filed: April 25, 1995

Examiner: D. Dinh

Group Art Unit: 2756

Docket No.: M-3206 US

San Jose, California  
February 25, 1998

BOX AF  
COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D. C. 20231

### SPECIAL PETITION FOR EXTENSION OF TIME

Sir:

Applicant respectfully petitions for an additional 2-month extension of the time for responding to the outstanding Office Action, such extension allowing the undersigned until February 26, 1998, to respond.

An extension for one month has been secured and the fee (\$110) paid therefor is deducted from the total fee due for a three month of extension. Applicant notes that the previous petition for extension of time authorized a fee of \$110, instead of the small entity fee of \$55. Accordingly, amount believed due for the additional two months extension is \$365.

Please charge our Deposit Account No. 19-2386 in the amount of \$365, as set forth in the enclosed transmittal letter, and any other amount required, for this extension of time.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on February 25, 1998.

*David Miller* 2/25/98  
Attorney for Applicant(s) Date of Signature

Respectfully submitted,

*David Miller*

David T. Millers  
Attorney for Applicant(s)  
Reg. No. 37,396

408510 v1

408510 v1

**MICROFICHE  
APPENDIX A**

Atty Docket No.: M-3206 US

Applicant: Peter C. Chen

Assignee: PC-Tel, Inc.

Title: "COMMUNICATIONS INTERFACE  
AND CONFLICT AVOIDANCE USING  
A SOFTWARE SIMULATION OF A  
UART"

Serial No.: **08/428,935**

Filed: April 25, 1995

No. of Sheets: 1